

**Blood and Marrow Transplant Clinical  
Trials Network**

**Unexpected, Grade 3-5 Adverse Event Form (AE1)**

Web Version: 1.0; 3.06; 06-09-11

**Segment (PROTSEG):**  
**Date of Onset (ADVDATE):**  
**Event description (ADVENT):**

1. Report activation status: (AVSTATUS)

- 1-1 - Keep report active
- 2-2 - Deactivate - Report filed in error
- 3-3 - Deactivate - Key field error
- 9-9 - Deactivate - Other reason



If Other, specify reason for deactivation: (AESPEC1)

2. Record date transplant center became aware of the event: (AVAWARDT)

(mm/dd/yyyy)

3. Indicate weight at time of the event: (AVWGHTKG)

(xxx.x) kg

4. Was this event expected or anticipated? (AVEXPECT)

1 - Yes  2 - No



5. Record the severity of event: (AVEVENT)

- 1-1 - Mild
- 2-2 - Moderate
- 3-3 - Severe
- 4-4 - Life Threatening
- 5-5 - Fatal



6. What is the relationship to study therapy/intervention: (AVRELAT)

- 1-1 - Unrelated
- 2-2 - Unlikely
- 3-3 - Possible
- 4-4 - Probable
- 5-5 - Definite

7. Is there an alternative etiology: (AVETIOL)

- 0-0 - None Apparent
- 1-1 - Study Disease
- 2-2 - Other Pre-Existing Disease or Condition
- 3-3 - Accident, Trauma, or External Factors
- 4-4 - Concurrent Illness/Condition (Not Pre-Existing)

8. What is the effect on study therapy/intervention schedule: (AVEFFECT)

- 1-1 - No Change - Completed
- 2-2 - No Change - Ongoing
- 3-3 - Dose Modified
- 4-4 - Temporarily Stopped
- 5-5 - Permanently Stopped

9. Record the most severe outcome of the event: (AVOUTCOM)

- 1-1 - Resolved, No Residual Effects
- 2-2 - Resolved with Sequelae
- 3-3 - Persistent Condition
- 4-4 - Resolved by Death



10. Record the date of resolution: (AVRESDT)

(mm/dd/yyyy)

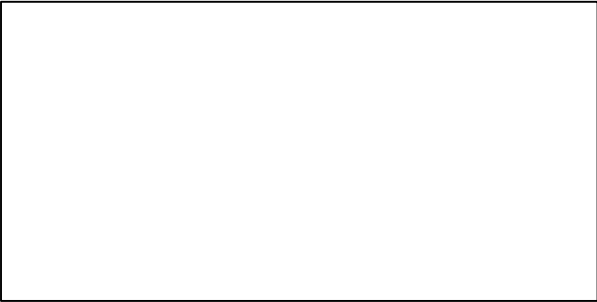


11. Was this event associated with: (AVASSOCI)

- 0-0 - None of the Following
- 1-1 - Death
- 2-2 - Life-Threatening Event
- 3-3 - Disability
- 4-4 - Congenital Anomaly
- \*Additional Options Listed Below



Comments: (AE 1COMM)



## **Additional Selection Options for AE1**

**Was this event associated with:**

5-5 - Required Intervention to Prevent Permanent Impairment or Damage

6-6 - Hospitalization (Initial or Prolonged)

9-9 - Other SAE

**Blood and Marrow Transplant Clinical  
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**Summary Form - Unexpected, Grade 3-5 Adverse Event (AE2)**

Web Version: 1.0; 3.06; 06-09-11

**Segment (PROTSEG):**  
**Date of Onset (ADVDATE):**  
**Event description (ADVENT):**

1. Report activation status: (AVSTAT\_A)

1-1 - Keep report active
2-2 - Deactivate - Report filed in error
3-3 - Deactivate - Key field error
9-9 - Deactivate - Other reason

**Relevant Past Medical History**

2. Does the patient have any relevant history, including pre-existing medical conditions? (SEMEDHXS)

1 - Yes     2 - No

If Yes, include any relevant history, including preexisting medical conditions below.

(SEMEDHX)

**3. Event Summary**

Include clinical history of event, associated signs and symptoms, alternative etiologies being considered and medical management below.

(SESUMM)

4. Initial submitter: (SEISUBBY)

Name:  Date: (SEISUBDT)  (mm/dd/yyyy)

5. Authorized submitter: (SEASUBBY)

Name:  Date: (SEASUBDT)  (mm/dd/yyyy)

**Blood and Marrow Transplant Clinical  
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**Therapy Form - Unexpected, Grade 3-5 Adverse Event (AE3)**

Web Version: 1.0; 3.06; 06-09-11

Segment (PROTSEG):  
Date of Onset (ADVDATE):  
Event description (ADVENT):

1. Report activation status: (AVSTAT\_B)

1-1 - Keep report active 2-2 - Deactivate - Report filed in error 3-3 - Deactivate - Key field error 9-9 - Deactivate - Other reason
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**Study Product/Suspect Medication Data**

2. Was the patient receiving any study products/suspect medications? (RCVSP)  1 - Yes  2 - No

If Yes, list the study product/suspect medications the subject was taking in the grid below.

Study Product Name (Note: If blinded, indicate as such)	Dose of Study Product(s) at SAE Onset	Route of Study Product(s) at SAE Onset	Schedule of Study Product(s) at SAE Onset	Date Study Product First Started (mm/dd/yyyy)	Date Study Product Last Taken (mm/dd/yyyy)	Reason for Use
(SPNAME1)	(SP1DOSE)	(SP1ROUTE)	(SP1SCHED)	(SP1STDT)	(SP1SPDT)	(SP1REASO)
(SPNAME2)	(SP2DOSE)	(SP2ROUTE)	(SP2SCHED)	(SP2STDT)	(SP2SPDT)	(SP2REASO)
(SPNAME3)	(SP3DOSE)	(SP3ROUTE)	(SP3SCHED)	(SP3STDT)	(SP3SPDT)	(SP3REASO)
(SPNAME4)	(SP4DOSE)	(SP4ROUTE)	(SP4SCHED)	(SP4STDT)	(SP4SPDT)	(SP4REASO)
(SPNAME5)	(SP5DOSE)	(SP5ROUTE)	(SP5SCHED)	(SP5STDT)	(SP5SPDT)	(SP5REASO)

**Concomitant Medications**

3. Was the patient taking any concomitant medications? (RCVCONMD)  1 - Yes  2 - No

If Yes, list the concomitant medications the patient was taking up to 1 month prior to SAE onset in the grid below.

Medication	Start Date (mm/dd/yyyy)	Stop Date (mm/dd/yyyy)	Dose, Route, Schedule	Indication
(CONMED1)	(CM1STDT)	(CM1SPDT)	(CM1DOSE)	(CM1INDIC) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED2)	(CM2STDT)	(CM2SPDT)	(CM2DOSE)	(CM2INDIC) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED3)	(CM3STDT)	(CM3SPDT)	(CM3DOSE)	(CM3INDIC) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED4)	(CM4STDT)	(CM4SPDT)	(CM4DOSE)	(CM4INDIC) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED5)	(CM5STDT)	(CM5SPDT)	(CM5DOSE)	(CM5INDIC)

				1-1 - Treatment of adverse event 9-9 - Other
(CONMED6)	(CM6STDY)	(CM6SPDY)	(CM6DOSE)	(CM6INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED7)	(CM7STDY)	(CM7SPDY)	(CM7DOSE)	(CM7INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED8)	(CM8STDY)	(CM8SPDY)	(CM8DOSE)	(CM8INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED9)	(CM9STDY)	(CM9SPDY)	(CM9DOSE)	(CM9INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED10)	(CM10STDY)	(CM10SPDY)	(CM10DOSE)	(CM10INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED11)	(CM11STDY)	(CM11SPDY)	(CM11DOSE)	(CM11INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED12)	(CM12STDY)	(CM12SPDY)	(CM12DOSE)	(CM12INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED13)	(CM13STDY)	(CM13SPDY)	(CM13DOSE)	(CM13INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED14)	(CM14STDY)	(CM14SPDY)	(CM14DOSE)	(CM14INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED15)	(CM15STDY)	(CM15SPDY)	(CM15DOSE)	(CM15INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED16)	(CM16STDY)	(CM16SPDY)	(CM16DOSE)	(CM16INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED17)	(CM17STDY)	(CM17SPDY)	(CM17DOSE)	(CM17INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED18)	(CM18STDY)	(CM18SPDY)	(CM18DOSE)	(CM18INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED19)	(CM19STDY)	(CM19SPDY)	(CM19DOSE)	(CM19INDY) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED20)	(CM20STDY)	(CM20SPDY)	(CM20DOSE)	(CM20INDY) 1-1 - Treatment of adverse event 9-9 - Other

(CONMED21)	(CM21STDT)	(CM21SPDT)	(CM21DOSE)	(CM21INDI) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED22)	(CM22STDT)	(CM22SPDT)	(CM22DOSE)	(CM22INDI) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED23)	(CM23STDT)	(CM23SPDT)	(CM23DOSE)	(CM23INDI) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED24)	(CM24STDT)	(CM24SPDT)	(CM24DOSE)	(CM24INDI) 1-1 - Treatment of adverse event 9-9 - Other
(CONMED25)	(CM25STDT)	(CM25SPDT)	(CM25DOSE)	(CM25INDI) 1-1 - Treatment of adverse event 9-9 - Other

Comments: (AE3COMM)

**Blood and Marrow Transplant Clinical  
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**Laboratory/Diagnostics Form - Unexpected, Grade 3-5 Adverse Event (AE4)**

Web Version: 1.0; 3.05; 06-09-11

Segment (PROTSEG):  
Date of Onset (ADVDATE):  
Event description (ADVENT):

1. Report activation status: (AVSTAT\_C)

1-1 - Keep report active 2-2 - Deactivate - Report filed in error 3-3 - Deactivate - Key field error 9-9 - Deactivate - Other reason
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**Laboratory Test Results**

2. Were relevant laboratory tests performed? (LABTSTPF)

1 - Yes     2 - No

If Yes, record the relevant laboratory test results in the grid below.

Test	Collection Date (mm/dd/yyyy)	Result (Include units)	Site Normal Range (Include units)	Lab Value Previous to this SAE (Include units)	Collection Date for Previous Lab (mm/dd/yyyy)
(ADLTST1)	(ADL1CD)	(ADL1RES)	(ADL1NORG)	(ADL1PRVL)	(ADL1PCD)
(ADLTST2)	(ADL2CD)	(ADL2RES)	(ADL2NORG)	(ADL2PRVL)	(ADL2PCD)
(ADLTST3)	(ADL3CD)	(ADL3RES)	(ADL3NORG)	(ADL3PRVL)	(ADL3PCD)
(ADLTST4)	(ADL4CD)	(ADL4RES)	(ADL4NORG)	(ADL4PRVL)	(ADL4PCD)
(ADLTST5)	(ADL5CD)	(ADL5RES)	(ADL5NORG)	(ADL5PRVL)	(ADL5PCD)
(ADLTST6)	(ADL6CD)	(ADL6RES)	(ADL6NORG)	(ADL6PRVL)	(ADL6PCD)
(ADLTST7)	(ADL7CD)	(ADL7RES)	(ADL7NORG)	(ADL7PRVL)	(ADL7PCD)
(ADLTST8)	(ADL8CD)	(ADL8RES)	(ADL8NORG)	(ADL8PRVL)	(ADL8PCD)
(ADLTST9)	(ADL9CD)	(ADL9RES)	(ADL9NORG)	(ADL9PRVL)	(ADL9PCD)
(ADLTST10)	(ADL10CD)	(ADL10RES)	(ADL10NRG)	(ADL10PVL)	(ADL10PCD)

**Diagnostic Tests (EX: MR, CT Scan, Ultrasound)**

3. Were relevant diagnostic tests performed? (DXSTPF)

1 - Yes     2 - No

If Yes, record the relevant diagnostic test results in the grid below. Submit copies of the diagnostic test if available.

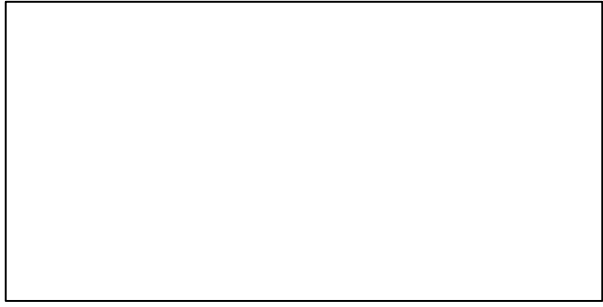
Test	Date Performed (mm/dd/yyyy)	Results/Comments



(ADDTS1) <input type="text"/>	(AD1DTDAT) <input type="text"/>	(AD1DTRES) <input type="text"/>
(ADDTS2) <input type="text"/>	(AD2DTDAT) <input type="text"/>	(AD2DTRES) <input type="text"/>
(ADDTS3) <input type="text"/>	(AD3DTDAT) <input type="text"/>	(AD3DTRES) <input type="text"/>
(ADDTS4) <input type="text"/>	(AD4DTDAT) <input type="text"/>	(AD4DTRES) <input type="text"/>
(ADDTS5) <input type="text"/>	(AD5DTDAT) <input type="text"/>	(AD5DTRES) <input type="text"/>

(ADDTS6) <input type="text"/>	(AD6DTDAT) <input type="text"/>	(AD6DTRES) <input type="text"/>
(ADDTS7) <input type="text"/>	(AD7DTDAT) <input type="text"/>	(AD7DTRES) <input type="text"/>
(ADDTS8) <input type="text"/>	(AD8DTDAT) <input type="text"/>	(AD8DTRES) <input type="text"/>
(ADDTS9) <input type="text"/>	(AD9DTDAT) <input type="text"/>	(AD9DTRES) <input type="text"/>
(ADDTS10) <input type="text"/>	(AD10TDT) <input type="text"/>	(AD10DTRS) <input type="text"/>

Comments: (AE4COMM)



**Blood and Marrow Transplant Clinical  
Trials Network**

**Review Form - Unexpected, Grade 3-5 Adverse Event (AE5)**

Web Version: 1.0; 3.06; 06-09-11

**Segment (PROTSEG):**  
**Date of Onset (ADVDATE):**  
**Event description (ADVENT):**

1. Report activation status: (AVSTAT\_D)

1-1 - Keep report active  
2-2 - Deactivate - Report filed in error  
3-3 - Deactivate - Key field error  
9-9 - Deactivate - Other reason

2. Reviewed: (AEREVIEW)

1 - Yes     2 - No

3. Reviewed by: (ARFREVB Y)

4. Review date: (ARFREVD T)

(mm/dd/yyyy)

5. Comment 1 - For Distribution: (ARCM1DIS)

6. Comment 2 - All Other Reviewers/Data Coordinating Center (ARCM2ALL)

**Blood and Marrow Transplant Clinical  
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**Medical Monitor Reviewer Form - Unexpected, Grade 3-5 Adverse Event (AE6)**

Web Version: 1.0; 5.00; 10-14-11

**Segment (PROTSEG):**  
**Date of Onset (ADVDATE):**  
**Event description (ADVENT):**

1. Adverse event status: (AVSTAT\_E)

1-1 - Keep report active  
2-2 - Deactivate - Report filed in error  
3-3 - Deactivate - Key field error  
9-9 - Deactivate - Other reason

2. Has this event been determined to be an unexpected, grade 3-5 adverse event? (AMDETER)

1 - Yes     2 - No

3. Does this require expedited reporting to the DSMB? (AMEXPDSM)

1 - Yes     2 - No

4. Do you recommend the patient be withdrawn from further protocol therapy? (AMWITHDR)

1 - Yes     2 - No

5. Is the review complete? (AMREVDNE)

1 - Yes     2 - No

6. If **No**, what additional information is required: (AMREVINF)

7. Medical Monitor event description: (AMMMEVDS)

Comments: (AE6COMM)

**Blood and Marrow Transplant Clinical  
Trials Network**

**Demographics (DEM)**

Web Version: 1.0; 6.00; 06-22-11

- 1. Name Code: (NAMECODE)
- 2. IUBMID # (if available): (IUBMID)
- 3. CRID # (CIBMT R Recipient ID): (CRIDNUM)

	(xxxxxxxxxx)

**Do NOT use IUBMID/UPN numbers in the CRID field.**

- 4. Gender: (GENDER)
- 5. Date of Birth: (DOB)
- 6. Ethnicity: (ETHNIC)

<input type="checkbox"/> 1 - Male	<input type="checkbox"/> 2 - Female
(mm/dd/yyyy)	

1-1 - Hispanic or Latino
2-2 - Not Hispanic or Latino
8-8 - Unknown
9-9 - Not Answered

- 7. Race: (RACE)

-White
10-10 - White (Not Otherwise Specified)
11-11 - European (Not Otherwise Specified)
13-13 - Mediterranean
14-14 - White North American
*Additional Options Listed Below

Specify race: (RACESP)

- 8. Secondary Race: (RACE2)

-White
10-10 - White (Not Otherwise Specified)
11-11 - European (Not Otherwise Specified)
13-13 - Mediterranean
14-14 - White North American
*Additional Options Listed Below

Specify secondary race: (RACE2SP)

Comments: (DEMCOMM1)

## Additional Selection Options for DEM

### Race:

15-15 - South or Central American  
16-16 - Eastern European  
17-17 - Northern European  
18-18 - Western European  
81-81 - White Caribbean  
82-82 - North Coast of Africa  
83-83 - Middle Eastern  
-Black  
20-20 - Black (Not Otherwise Specified)  
21-21 - African American  
22-22 - African Black (Both Parents Born in Africa)  
23-23 - Caribbean Black  
24-24 - South or Central American Black  
29-29 - Black, Other Specify  
-Asian  
30-30 - Asian (Not Otherwise Specified)  
31-31 - Indian/South Asian  
32-32 - Filipino (Pilipino)  
34-34 - Japanese  
35-35 - Korean  
36-36 - Chinese  
37-37 - Other Southeast Asian  
38-38 - Vietnamese  
-American Indian or Alaska Native  
50-50 - Native American (Not Otherwise Specified)  
51-51 - Native Alaskan/Eskimo/Aleut  
52-52 - American Indian (Not Otherwise Specified)  
53-53 - North American Indian  
54-54 - South or Central American Indian  
55-55 - Caribbean Indian  
-Native Hawaiian or Other Pacific Islander  
60-60 - Native Pacific Islander (Not Otherwise Specified)  
61-61 - Guamanian  
62-62 - Hawaiian  
63-63 - Samoan  
-Other  
88-88 - Unknown  
90-90 - Other, Specify  
99-99 - Not Answered

**Blood and Marrow Transplant Clinical  
Trials Network**

**0101A (ENR)**

Web Version: 1.0; 6.00; 06-26-09

**Fungal Prophylaxis Protocol Enrollment Form - Segment A**

1. Has the patient had a prior allogeneic or autologous transplant? (*PRIORTX*)  1 - Yes  2 - No
2. Record the proposed or actual date of initiation of conditioning: (*CONDTDTA*)  (mm/dd/yyyy)
3. Patient's birthdate: (*BIRTHDT*)  (mm/dd/yyyy)

**Inclusion Criteria**

4. Record the donor source: (*DNRSRCA*)
- 1-1 - Related Donor Marrow  
2-2 - Unrelated Donor Marrow  
3-3 - Related PBSC  
4-4 - Unrelated PBSC  
5-5 - Related Donor Umbilical Cord Blood  
\*Additional Options Listed Below
5. Record the patient's underlying disease: (*PRIMDZA*)
- 1-1 - Acute Myelogenous Leukemia (AML)  
2-2 - Acute Lymphoblastic Leukemia (ALL)  
3-3 - Chronic Myelogenous Leukemia (CML)  
4-4 - Myelodysplastic Syndrome (MDS)  
5-5 - Acute Undifferentiated Leukemia (AUL)  
\*Additional Options Listed Below
6. If AML, what is the patient's disease stage? (*AMLSTG*)
- 1-1 - 1st Complete Remission  
2-2 - 2nd Complete Remission  
3-3 - Early Relapse  
4-4 - Other
7. If ALL, what is the patient's disease stage? (*ALLSTG*)
- 1-1 - 1st Complete Remission  
2-2 - 2nd Complete Remission  
3-3 - Other
8. If CML, what is the patient's disease stage? (*CMLSTG*)
- 1-1 - Chronic Phase  
2-2 - Accelerated Phase  
3-3 - Blast Crisis
9. If MDS, what is the patient's disease stage? (*MDSSTG*)
- 1-1 - Refractory Anemia  
2-2 - Refractory Anemia with Ringed Sideroblasts  
3-3 - Refractory Cytopenia with Multilineage Dysplasia  
4-4 - Refractory Cytopenia with Multilineage Dysplasia and Ringed Sideroblasts  
5-5 - Refractory Anemia with Excess Blasts - 1 (5-10% blasts)  
\*Additional Options Listed Below
10. If AUL, what is the patient's disease stage? (*AULSTG*)
- 1-1 - 1st Complete Remission  
2-2 - 2nd Complete Remission  
3-3 - Other
11. If Acute Biphennotypic Leukemia, what is the patient's disease stage? (*ACBIPHST*)
- 1-1 - 1st Complete Remission  
2-2 - 2nd Complete Remission  
3-3 - Other
12. If Lymphoma, has the disease demonstrated chemosensitivity? (*LYCMSENS*)  1 - Yes  2 - No
13. Will the patient receive a myeloablative conditioning regimen? (*CNDREGMN*)  1 - Yes  2 - No
14. Does the patient have symptomatic cardiac disease? (*CRDCDZ1A*)  1 - Yes  2 - No
15. Record the type of fraction test performed: (*FRACTYPE*)
- 1-1 - Left Ventricular Ejection Fraction (LVEF)  
2-2 - Shortening Fraction



16. Left Ventricular Ejection Fraction (LVEF): (EJCTFRAC)  (xxx) % Date ejection fraction performed: (EJCTFRDT)  (mm/dd/yyyy)
17. Does the LVEF improve with exercise? (EJCTIMPR)  1 - Yes  2 - No
18. Record the shortening fraction at rest: (SHRTFRAC)  (xxx) % Date shortening fraction performed: (SHRTFRDT)  (mm/dd/yyyy)

	Most Recent Value	LLN for your Institution	ULN for your Institution	Date Sample Obtained
19. Creatinine (mg/dL):	(SCR1A) <input type="text"/> (x.x)	(SCRLLN1A) <input type="text"/> (x.x)	(SCRULN1A) <input type="text"/> (x.x)	(SCRDT1A) <input type="text"/> (mm/dd/yyyy)
20. Creatinine Clearance (mL/min):	(SCRCLRNA) <input type="text"/> (xxx)	(SCRLLN2A) <input type="text"/> (xxx)		(SCRCLDTA) <input type="text"/> (mm/dd/yyyy)
21. ALT (Units/L):	(ALT1A) <input type="text"/> (xxx)		(ALTULN1A) <input type="text"/> (xx)	(ALDT1A) <input type="text"/> (mm/dd/yyyy)
22. Bilirubin (mg/dL):	(BIL1A) <input type="text"/> (x.x)			(BILDTA) <input type="text"/> (mm/dd/yyyy)

23. Were Pulmonary Function Tests performed? (PFTYESNO)  1 - Yes  2 - No  
 If PFT's were not performed, then an O<sub>2</sub> saturation must be obtained.

	Most Recent Value Corrected for Hemoglobin	Date Sample Obtained
24. DLCO:	(DLCO) <input type="text"/> (xxx) % of predicted value	(DLCO1DT) <input type="text"/> (mm/d/yyyy)
25. FEV1:	(FEV1) <input type="text"/> (xxx) % of predicted value	(FEV1DT) <input type="text"/> (mm/dd/yyyy)
26. FVC:	(FVC) <input type="text"/> (xxx) % of predicted value	(FVC1DT) <input type="text"/> (mm/dd/yyyy)

27. O<sub>2</sub> saturation on room air: (OXYSATUR)  (xxx) % Date O<sub>2</sub> saturation was obtained: (OXYSATDT)  (mm/dd/yyyy)

## Exclusion Criteria

28. Has the patient had an invasive yeast infection within the eight weeks prior to the proposed date of initiation of conditioning? (INFYEAST)  1 - Yes  2 - No
29. Does the patient have a history of candidemia > eight weeks prior to the proposed date of initiation of conditioning? (INFCAND)  1 - Yes  2 - No
30. Date blood sample obtained: (BLDCTRDT)  (mm/d/yyyy)
31. Results of blood culture: (BLDCLTRA)  1 - Positive  2 - Negative
32. Are there clinical signs of candidemia? (CNDSIGNS)  1 - Yes  2 - No
33. Does the patient currently require anti-fungal therapy? (FNGLRX)  1 - Yes  2 - No
34. Has the patient had a proven or probable aspergillus or other mold infection or deep mycoses (including hepatosplenic candidemia) within 4 months prior to the proposed date of initiation of conditioning? (INFASPRA)  1 - Yes  2 - No
35. Does the patient have an uncontrolled viral or bacterial infection? (VIBACINF)  1 - Yes  2 - No
36. Is the patient pregnant (positive -HCG) or breastfeeding? (PREGA)  1 - Yes  2 - No  3 - Not Applicable
37. Performance status scale used to evaluate patient (Lansky for patients <16 years old; Karnofsky for patient ≥16): (KARNLANS)  1 - Karnofsky  2 - Lansky
38. Record patient's performance status? (PSA)

01-01 - 100 (Normal; No Complaints/Fully Active)  
 02-02 - 90 (Normal Activity/Minor Restrictions in Strenuous Play)  
 03-03 - 80 (Normal Activity with Effort/Restricted in Strenuous Play)  
 04-04 - 70 (Unable to Carry On Normal Activity/Less Time Spent in Play)  
 05-05 - 60 (Requires Occasional Assistance/Minimal Active Play)  
 \*Additional Options Listed Below

39. Does the patient have a history of allergies or intolerance to azoles? (ALLERGY)  1 - Yes  2 - No
40. Does the patient require therapy with rifampin, rifabutin, carbamazepine, cispripide, terfenadin, astemizole, ergot alkaloids, or long-acting barbiturates? (MEDSA)  1 - Yes  2 - No
41. Has the patient received >3 days treatment with rifampin or carbamazepine within 7 days prior to the proposed date of the initiation of conditioning? (MEDRXA)  1 - Yes  2 - No
42. Is the patient taking therapeutic anticoagulation with coumadin? (COUMADIN)  1 - Yes  2 - No
43. Is the patient taking 1 mg/day of coumadin for port prophylaxis? (PRTPROPH)  1 - Yes  2 - No
44. Is the patient currently receiving sirolimus? (SIRORXA)  1 - Yes  2 - No
45. Does the patient have prolonged QTc syndrome? (QTCSYNDR)  1 - Yes  2 - No

46. Is the patient HIV positive? (HIVPOSA)

1 - Yes  2 - No

47. Is the patient receiving an investigational drug? (INVDRGS)

1-1 - Yes  
2-2 - Yes, A pproved by S tudy C hair/MM  
3-3 - No

48. Date confirmed by study chair/medical monitor: (MMOKDT)

(mm/dd/yyyy)

49. Does the patient have active CNS disease? (CNSDSEAS)

1 - Yes  2 - No

50. Does the patient have a history of prior malignancies other than resected basal cell carcinoma, treated carcinoma in situ or cancer treated with curative intent > 5 years previously? (HXPRMALG)

1 - Yes  2 - No

51. Does the patient have a cancer treated with curative intent ≤ 5 years previously? (HXL5YRS)

1-1 - Yes  
2-2 - Yes, A pproved by S tudy C hair/MM  
3-3 - No

52. Date approved by study chair/medical monitor: (PRCAAPDT)

(mm/dd/yyyy)

## HLA Typing

Type of HLA Match required by this protocol: (HLAMATCH)

DNA\_HIGH-High Level DNA  
DNA\_LOW-Low Level DNA  
SEROLOGY-Serologic  
AB\_SEROLOGY\_DRB1\_DNA\_LOW-Loci A, B: Serologic, Locus DRB1: Low Level DNA  
AB\_DNA\_LOW\_DRB1\_DNA\_HIGH-Loci A, B: LowLevel DNA, Locus DRB1: High Level DNA  
\*Additional Options Listed Below

## 53. Recipient HLA Typing

### HLA-A

Typing method: (RHLAAMET)

1-1 - DNA Technology  
2-2 - Serology

Antigens/alleles provided: (RHLAANUM)

1-1 - One  
2-2 - Two

1st: (RHLAA11X)  (RHLAA12X) /  (RHLAA13X) /  (RHLAA14X) /   
(RHLAA15X)  (RHLAA16X) /  (RHLAA17X) /  (RHLAA18X) /   
2nd: (RHLAA21X)  (RHLAA22X) /  (RHLAA23X) /  (RHLAA24X) /   
(RHLAA25X)  (RHLAA26X) /  (RHLAA27X) /  (RHLAA28X) /

### HLA-B

Typing method: (RHLABMET)

1-1 - DNA Technology  
2-2 - Serology

Antigens/alleles provided: (RHLABNUM)

1-1 - One  
2-2 - Two

1st: (RHLAB11X)  (RHLAB12X) /  (RHLAB13X) /  (RHLAB14X) /   
(RHLAB15X)  (RHLAB16X) /  (RHLAB17X) /  (RHLAB18X) /   
2nd: (RHLAB21X)  (RHLAB22X) /  (RHLAB23X) /  (RHLAB24X) /   
(RHLAB25X)  (RHLAB26X) /  (RHLAB27X) /  (RHLAB28X) /

### HLA-DRB1

Typing method: (RHLADMET)

1-1 - DNA Technology  
2-2 - Serology

Antigens/alleles provided: (RHLADNUM)

1-1 - One  
2-2 - Two

1st: (RHLAD11X)  (RHLAD12X) /  (RHLAD13X) /  (RHLAD14X) /   
(RHLAD15X)  (RHLAD16X) /  (RHLAD17X) /  (RHLAD18X) /   
2nd: (RHLAD21X)  (RHLAD22X) /  (RHLAD23X) /  (RHLAD24X) /   
(RHLAD25X)  (RHLAD26X) /  (RHLAD27X) /  (RHLAD28X) /

## 54. Donor HLA Typing

### HLA-A

Typing method: (DHLAAMET)

1-1 - DNA Technology  
2-2 - Serology

Antigens/alleles provided: (DHLAANUM)

1-1 - One  
2-2 - Two

1st: (DHLAA11X) | \_\_\_\_\_ (DHLAA12X) | \_\_\_\_\_ (DHLAA13X) | \_\_\_\_\_ (DHLAA14X) | \_\_\_\_\_  
 (DHLAA15X) | \_\_\_\_\_ (DHLAA16X) | \_\_\_\_\_ (DHLAA17X) | \_\_\_\_\_ (DHLAA18X) | \_\_\_\_\_  
 2nd: (DHLAA21X) | \_\_\_\_\_ (DHLAA22X) | \_\_\_\_\_ (DHLAA23X) | \_\_\_\_\_ (DHLAA24X) | \_\_\_\_\_  
 (DHLAA25X) | \_\_\_\_\_ (DHLAA26X) | \_\_\_\_\_ (DHLAA27X) | \_\_\_\_\_ (DHLAA28X) | \_\_\_\_\_

**HLA-B**

Typing method: (DHLABMET)

1-1 - DNA Technology  
2-2 - Serology

Antigens/alleles provided: (DHLABNUM)

1-1 - One  
2-2 - Two

1st: (DHLAB11X) | \_\_\_\_\_ (DHLAB12X) | \_\_\_\_\_ (DHLAB13X) | \_\_\_\_\_ (DHLAB14X) | \_\_\_\_\_  
 (DHLAB15X) | \_\_\_\_\_ (DHLAB16X) | \_\_\_\_\_ (DHLAB17X) | \_\_\_\_\_ (DHLAB18X) | \_\_\_\_\_  
 2nd: (DHLAB21X) | \_\_\_\_\_ (DHLAB22X) | \_\_\_\_\_ (DHLAB23X) | \_\_\_\_\_ (DHLAB24X) | \_\_\_\_\_  
 (DHLAB25X) | \_\_\_\_\_ (DHLAB26X) | \_\_\_\_\_ (DHLAB27X) | \_\_\_\_\_ (DHLAB28X) | \_\_\_\_\_

**HLA-DRB1**

Typing method: (DHLADMET)

1-1 - DNA Technology  
2-2 - Serology

Antigens/alleles provided: (DHLADNUM)

1-1 - One  
2-2 - Two

1st: (DHLAD11X) | \_\_\_\_\_ (DHLAD12X) | \_\_\_\_\_ (DHLAD13X) | \_\_\_\_\_ (DHLAD14X) | \_\_\_\_\_  
 (DHLAD15X) | \_\_\_\_\_ (DHLAD16X) | \_\_\_\_\_ (DHLAD17X) | \_\_\_\_\_ (DHLAD18X) | \_\_\_\_\_  
 2nd: (DHLAD21X) | \_\_\_\_\_ (DHLAD22X) | \_\_\_\_\_ (DHLAD23X) | \_\_\_\_\_ (DHLAD24X) | \_\_\_\_\_  
 (DHLAD25X) | \_\_\_\_\_ (DHLAD26X) | \_\_\_\_\_ (DHLAD27X) | \_\_\_\_\_ (DHLAD28X) | \_\_\_\_\_

Locus-A calculated HLA Match Score (SCORE\_A)

Locus-B calculated HLA Match Score (SCORE\_B)

Locus-DRB1 calculated HLA Match Score (SCORE\_D)

Total calculated HLA Match Score (HLASCORE)

Do you agree with the calculated HLA Match Score? (HLAAGREE)

 1 - Yes  2 - No

Indicate your institution's HLA Match Score for this participant: (SITESCR)

0/6-0/6  
 1/6-1/6  
 2/6-2/6  
 3/6-3/6  
 4/6-4/6  
 \*Additional Options Listed Below

Comments (COMMENTS)

## Additional Selection Options for ENR

### Record the donor source:

6-6 - Unrelated Donor Umbilical Cord Blood

### Record the patient's underlying disease:

6-6 - Acute Bipheno typic Leukemia

7-7 - Lymphoma

### If MDS, what is the patient's disease stage?

6-6 - Refractory Anemia with Excess Blasts - 2 (10-20% blasts)

7-7 - Myelodysplastic Syndrome, Unclassified

8-8 - MDS Associated with Isolated Del(5q)

### Record patient's performance status?

06-06 - 50 (Requires Considerable Assistance/No Active Play)

07-07 - 40 (Disabled/Able to Initiate Quiet Activities)

08-08 - 30 (Severely Disabled/Needs Assistance for Quiet Play)

09-09 - 20 (Very Sick/Limited to Very Passive Activity)

10-10 - 10 (Moribund; Completely Disabled)

99-99 - Karnofsky <70% or Lansky <50% with Approval by Study Chair/MM

### Type of HLA Match required by this protocol:

AB\_SEROLOGY\_DRB1\_DNA\_HIGH-Loci A, B: Serologic, Locus DRB1: High Level DNA

ABC\_DNA\_LOW\_DRB1\_DNA\_HIGH-Loci A, B, C: Low Level DNA, Locus DRB1: High Level DNA

ABCDQ\_DNA\_LOW\_DRB1\_DNA\_HIGH-Loci A, B, C, DQ: Low Level DNA, Locus DRB1: High Level DNA

### Indicate your institution's HLA Match Score for this participant:

5/6-5/6

6/6-6/6

0/8-0/8

1/8-1/8

2/8-2/8

3/8-3/8

4/8-4/8

5/8-5/8

6/8-6/8

7/8-7/8

8/8-8/8

**Blood and Marrow Transplant Clinical  
Trials Network**

**Termination Form (TRM)**

Web Version: 1.0; 6.00; 12-21-11

**Segment (PROTSEG):**

1. Date of termination: (TRMDATE)

 (mm/dd/yyyy)

2. Record reason for termination: (TRMRSN1)

O1-01 - Death
O2-02 - Fungal Infection
O3-03 - Uncontrolled Infection (Other than Fungal Infection)
O4-04 - Disease Stage
O5-05 - Ineligible ALT Value
*Additional Options Listed Below

Specify other termination reason: (TRMSPEC1)

*If Death, a Death form must be submitted.*

Comments: (TRMCOMM)

## **Additional Selection Options for TRM**

**Record reason for termination:**

06-06 - Inadequate Organ Function

07-07 - Patient Became Pregnant

08-08 - Patient Refused/Withdrew Consent

09-09 - Other, Specify